

03.23.01

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Express Mail Label No. EL598672982US

Docket No.: END9 2000 0174 US1

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

**(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission: 3

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

SYSTEM AND METHOD FOR FRAME STORAGE OF EXECUTABLE CODE

and invented by: William Montgomery Sjostrom, Russell Thomas White, Jr.

**ASSIGNEE NAME:** International Business Machines Corporation

**ASSIGNEE RESIDENCE:** Armonk, New York

**If a CONTINUATION APPLICATION,** check the appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application  
No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

01. ☒ Filing fee as calculated and transmitted as described below
02. ☒ Specification having 32 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure
03. ☒ Drawing(s) when necessary as prescribed by 35 USC 113)
  - a. ☐ Formal
  - b. ☒ Informal

Number of sheets: 7

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**Application Elements (Continued)**

04. ☒ Oath or Declaration

- a. ☒ Newly executed (original or copy) ☐ Not executed
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for  
continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney

05. ☐ Incorporation By Reference (usable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.

06. ☐ Computer Program in Microfiche (Appendix)

07. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

08. ☒ Assignment Papers (cover sheet & document(s))

09. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS citation

12. ☐ Preliminary Amendment

13. ☒ Acknowledgment postcard

14. ☒ Certificate of Mailing

☐ First Class ☒ Express Mail (Label No: EL598672982US)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

**NEW UTILITY PATENT APPLICATION TRANSMITTAL****(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission:3

**Accompanying Application Parts (Continued)**

16. ☐ Additional Enclosures (identify below)
17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	32	-20	12	x \$18.00	\$ 216.00
Indep. Claims	5	- 3	2	x \$80.00	\$ 160.00
Multiple Dependent Claims					\$
<b>BASIC FEE</b>					\$ 710.00
Other FEE (Specify purpose)					\$
<b>TOTAL FILING FEE</b>					\$1,086.00

- ☐ A check in the amount of \$ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,086.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 19 March 2001

*Shelley M Beckstrand*  
Signature

Shelley M Beckstrand  
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cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **W. M. Sjostrom et al.**

Docket No.

**END920000174US1**

Serial No.

N/A

Filing Date

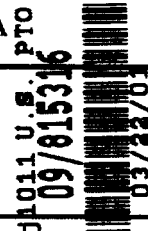
Herewith

Examiner

N/A

Group Art Unit

N/A

Invention: **SYSTEM AND METHOD FOR FRAME STORAGE OF EXECUTABLE CODE**

I hereby certify that the following correspondence:

**New patent application***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

**03/22/01***(Date)***Christine Lang***(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)***EL598672982US***("Express Mail" Mailing Label Number)*

ANNEX, #

**Note: Each paper must have its own certificate of mailing.**